

CLIENT INFORMATION UPDATE FORM
(INSTITUTIONAL PROFESSIONAL INVESTOR ACCOUNT)
客戶資料更新表格 (機構專業投資者戶口)

Account Name: 戶口名稱:

Account Number: 戶口號碼:

Important Notes: 重要提示:

Part 1: We shall assume there is no change of your relevant information which you have not provided in this part. 第 1 部: 如在此部並未有提供資料, 閣下的現有有關資料會被視為並無更改。

Part 2-4: All parts must be completed unless not applicable or otherwise specified. 第 2-4 部: 除不適用或特別註明外, 必須填寫這所有部分。

1. Change of Client Profile Information 更改客戶資料 (Please tick the appropriate box 請在適當空格內加✓)

Change of Company Name 更改公司名稱

New Company Name 新公司名稱

Change of Address 更改地址

New Registered Address# 新註冊地址#

New Correspondence Address 新通訊地址

#Please provide proof of new registered address within 3 months 請提供近三個月之新註冊地址證明

Change of List of Director 董事名單變更#

Name 姓名	HKID 香港身份證 / Passport No. 護照號碼	Contact Tel. No. 聯絡電話	Residential Address 住宅地址
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

#Please provide identity documents of all new directors 請提供所有新董事之證明文件

Change of List of Shareholder 股東名單變更# (For companies with multiple layers structure in the ownership structure, please provide a group chart (including the company name and its place of incorporation) certified by a director of the Company. 至於有多層擁有權結構的公司, 請公司之董事提供經其認證有關集團公司的結構圖(包括公司名稱及其成立地))

Name 姓名	HKID 香港身份證 / Passport No. 護照號碼	Contact Tel. No. 聯絡電話	Residential Address 住宅地址
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>

#Please provide identity documents of all new shareholders 請提供所有新股東之證明文件

Change of List of Individual who holds 25% or more of the ultimate beneficial interest of the client 擁有百分之二十五或以上公司最終權益持有人名單變更#

Name 姓名	HKID 香港身份證 / Passport No. 護照號碼	Contact Tel. No. 聯絡電話	Residential Address 住宅地址
_____	_____	_____	_____
_____	_____	_____	_____
_____	_____	_____	_____

#Please provide identity documents of all new individuals who holds 25% or more of the ultimate beneficial interest of the client

請提供所有新擁有百分之二十五或以上公司最終權益持有人之證明文件

Change of List of Person authorized to give dealing instructions 獲授權發出指令/買賣指示之人士名單變更#

Name 姓名	Title 職銜 / Relationship with Client 與客戶之關係	HKID 香港身份證 / Passport No. 護照號碼	Contact Tel. No. 聯絡電話	Residential Address 住宅地址
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____
_____	_____	_____	_____	_____

#Please provide identity documents of all new persons authorized to give dealing instructions and relevant duly certified board resolution 請提供所有新獲授權發出

買賣指示之人士之證明文件及董事會決議正式核證副本

Change of Mode of receiving of Daily and Monthly Statement 更改日結單及月結單收取方式

New Mode of receiving of Daily and Monthly Statement: 新日結單及月結單收取方式:	Please tick one box 請選以下其中一項 <input type="checkbox"/> By Post 郵寄 <input type="checkbox"/> By E-mail 電郵: _____
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Change of Telephone Number 更改電話

Tel.: 電話:	_____
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2. Latest Financial Position 最新財務資料 (Required fields 為必須填寫項目)

Paid-Up Share Capital: 繳足股份股本:	_____	Profit after taxation for the latest financial year: 最近財政年度之除稅後營利:	_____
Total Asset: 總資產:	_____	Total Liabilities: 總負債:	_____
Source of Income: 收入來源:	<input type="checkbox"/> Commission 佣金 <input type="checkbox"/> Rent 租金 <input type="checkbox"/> Dividend/Interest 股息/利息 <input type="checkbox"/> Business Profits 商業利潤 <input type="checkbox"/> Others 其他 (please specify 請註明): _____		
Asset Items: 資產項目:	<input type="checkbox"/> Landed Property 地產 <input type="checkbox"/> Cash/Deposit 現金/存款 <input type="checkbox"/> Listed Securities 上市證券 <input type="checkbox"/> Dividend/Interest 股息/利息 <input type="checkbox"/> Vehicles 汽車 <input type="checkbox"/> Others 其他 (please specify 請註明): _____		
Total Net Value (HK\$): 總淨值 (港元):	<input type="checkbox"/> ≤ HK\$500,000 <input type="checkbox"/> HK\$500,001-HK\$999,999 <input type="checkbox"/> HK\$1,000,000-HK\$4,999,999 <input type="checkbox"/> HK\$5,000,000-HK\$7,999,999 <input type="checkbox"/> HK\$8,000,000-HK\$29,999,999 <input type="checkbox"/> HK\$30,000,000-HK\$49,999,999 <input type="checkbox"/> ≥ HK\$50,000,000 <input type="checkbox"/> Other : HK\$ _____		

3. Other Disclosure 其他披露 (Required fields 為必須填寫項目)

- (1) Are you related to any employee or director or any other clients of KESHK and/or KEFHK or their subsidiaries, holding company or subsidiaries of the same holding company? (if yes, please state the name and your relationship with our director(s) and/or our other clients)
貴公司是否與金英証券及/或金英期貨或其附屬公司、控股公司或該控股公司之附屬公司之僱員或董事或其他客戶有關係? (如是，請註明有關僱員/董事或客戶之姓名及與其之關係)
- No 否 Yes 是 (Name 姓名: _____ Account No. 戶口號碼: _____ Relationship 關係: _____)
- (2) Do you control 35% or above of the voting rights of another client of KESHK and/or KEFHK or their subsidiaries, holding company or subsidiaries of the same holding company? (if yes, please specify as below)
貴公司有否持控金英証券及/或金英期貨或其附屬公司、控股公司或該控股公司之附屬公司之另一客戶 35%或以上之表決權? (如是，請於下列註明)
- No 否 Yes 是 (Name 姓名: _____ Account No. 戶口號碼: _____)

(3) Are you a licensed corporation / registered institution with the Hong Kong Securities and Futures Commission (SFC)?
貴公司是否香港證券及期貨事務監察委員會(證監會)持牌法團/註冊機構?

No 否 Yes 是 , please specify 請列明: C.E. Number 中央編號 _____

(4) Are you, yours directors or senior officials a current or former senior official for any level of government, public or statutory body, or political party, or a senior executive of any state-owned enterprise for any city, region or country?
貴公司或其董事、高級管理人員是否(於現在或過往)為任何城市、區域或國家內任何層次的政府、公營或法定機構或政黨的高層人員或任何國有企業的高級行政人員?

No 否 Yes 是 , please specify 請列明: _____

4. Declaration and Signature 聲明及簽署

We hereby declare that the information given in this form is true and correct and that we have not willfully withheld any material fact(s). We understand that we may be required to provide additional information or submit documentary proof as to the information provided in this form when requested to do so.

本公司謹此聲明表格上提供之所有資料均為真實及正確，本公司並未刻意隱瞞任何重要事實。除上述提供的資料外，本公司清楚貴司會要求本公司提供更多相關的資料或證明文件。

Authorised Signature 授權簽署 (with Company chop 須加蓋公司圖章)

Date 日期: _____

For Office Use Only 只供本行使用

Received Parties	AE	Team Head & Responsible Officer	Doc. Check (CS)	Data Input	Data Input Verified
Name					
Sign					
Date					