

Date 日期 :  
Fax No. 傳真號碼 : +852 2537 1548  
To : Kim Eng Securities (Hong Kong) Limited  
致 : 金英証券(香港)有限公司(CCASS# B01264)  
Attn. 聯絡人 : Operations Department  
TEL : +852 2268 0869/+852 2268 0862  
From 由 :

**Kim Eng Securities (Hong Kong) Limited**  
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## Securities Settlement Instruction 證券交收指示表格

Note 注意:

1. Please tick where applicable. 請於適當處畫上 “✓”。
2. Please note that instructions for same day settlement of local securities must reach us by 12:00 noon on the settlement day. 如需要在交收日完成交收, 請在當日正午 12 時前將交收指示交回本行。

Account Number 戶口號碼 : \_\_\_\_\_

Account Name 戶口姓名 : \_\_\_\_\_

Please 請  receive the following securities for my/our above account 將下項證券接收到本人(等)上述證券戶口  
 deliver the following securities from my/our above account 由本人(等)上述證券戶口交付下項證券

and input the following Settlement Instruction (SI) into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows: 請將下列交收指示輸入中央結算及交收系統以便進行交收:

<b>對手名稱</b> <u>Name of Counterparty</u>	<b>證券名稱及代號</b> <u>Code &amp; Name of Stock</u>	<b>股數</b> <u>Quantity</u>	<b>交收日期</b> <u>Settle Date</u>
1. _____			
2. _____			

Do you have any relationship with the above-mentioned stock(s)? 閣下與上述證券有關係嗎?

No 沒有  Yes, please state 有, 請註明: \_\_\_\_\_

Is there any change of ultimate beneficial ownership for the above instruction? 上述指示有改變最終受益人嗎?

No  Yes, please state the reason 請提供原因: \_\_\_\_\_

For the securities settlement instruction over HK\$10,000,000 or equivalent market value (per stock), please provide the reason of such transfer. 如證券交收指示內個別證券的市值超過 HK\$10,000,000, 請提供交收原因。

Payment Instruction 付款指示:

Deliver/Receive Against 貨款對付交收 HK\$ \_\_\_\_\_

Deliver/Receive Free Of Payment 毋須付款交收

\_\_\_\_\_  
Client(s) Signature 客戶簽署

Signature Verified

S.V.

For Official Use On Special Approval Only:

\_\_\_\_\_  
Account Executive:

\_\_\_\_\_  
Credit Control:

\_\_\_\_\_  
Team Head