

Date 日期 :
 Fax No. 傳真號碼 : +852 2537 1548
 To : Kim Eng Securities (Hong Kong) Limited
 致 : 金英証券(香港)有限公司(CCASS# B01264)
 Attn. 聯絡人 : Maggie Tang
 Operations Department
 TEL : +852 2268 0869
 From 由 :

Kim Eng Securities (Hong Kong) Limited
 28/F, Lee Garden Three, 1 Sunning Road
 Causeway Bay, Hong Kong
金英証券(香港)有限公司
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A member of **Maybank** Group

Securities Settlement Instruction 證券交收指示表格

Note 注意:

1. Please tick where applicable. 請於適當處畫上 “ ✓ ”。
2. Please note that instructions for same day settlement of local securities must reach us by 12:00 noon on the settlement day. 如需要在交收日完成交收, 請在當日正午 12 時前將交收指示交回本行。

Account Number 戶口號碼 : _____

Account Name 戶口姓名 : _____

Please 請 receive the following securities for my/our above account 將下項證券接收到本人(等)上述證券戶口
 deliver the following securities from my/our above account 由本人(等)上述證券戶口交付下項證券

and input the following Settlement Instruction (SI) into the Central Clearing and Settlement System (CCASS) to effect settlement with details as follows: 請將下列交收指示輸入中央結算及交收系統以便進行交收:

<u>對手名稱</u> <u>Name of Counterparty</u>	<u>證券名稱及代號</u> <u>Code & Name of Stock</u>	<u>股數</u> <u>Quantity</u>	<u>交收日期</u> <u>Settle Date</u>
1. _____			
2. _____			

Do you have any relationship with the above-mentioned stock(s)? 閣下與上述證券有關係嗎?

No 沒有 Yes, please state 有, 請註明: _____

Is there any change of ultimate beneficial ownership for the above instruction? 上述指示有改變最終受益人嗎?

No Yes, please state the reason 請提供原因: _____

For the securities settlement instruction over HK\$10,000,000 or equivalent market value (per stock), please provide the reason of such transfer. 如證券交收指示內個別證券的市值超過 HK\$10,000,000, 請提供交收原因。

Payment Instruction 付款指示:

Deliver/Receive Against 貨款對付交收 HK\$ _____

Deliver/Receive Free Of Payment 毋須付款交收

Client(s) Signature 客戶簽署 _____

Signature Verified

S.V.

For Official Use On Special Approval Only:

Account Executive: _____

Credit Control: _____

Team Head _____