

**Kim Eng Securities (Hong Kong) Limited**  
**Securities Service Fee Schedule**

HK Securities Service Fees and Charges (Settlement Date: T + 2)		
Services	Charges	Remarks
<b>(A) Trade-related Services</b>		
1.Brokerage Commission (Offline)	Standard Fees: 0.25% on Gross Consideration (Min: HK\$100.00)	The rates are negotiable
2.Brokerage Commission (Online)	Standard Fees: 0.15% on Gross Consideration (Min HK\$50.00)	The rates are negotiable
3.Transaction Levy	0.0027% of transaction amount (rounded up to the nearest HK cent)	
4.Investor Compensation Levy	Nil	
5.Trading Fee	0.005% of transaction amount (rounded up to the nearest HK cent)	
6.Trading Tariff	Nil	
7.Stamp Duty	HK\$1.00 per HK\$1,000.00 (rounded up to the nearest HK dollar)	
<b>(B) Quote services</b>		
1.Online Real-Time Price Quote	•ETNET IQ Streaming (HK\$338.00)	Term of Waiver: Monthly fee charged will be credited to client's account at the first week of next month if client monthly commission exceeds or reaches HK\$1,000.00 for internet trades
	•ETNET IQ Streaming with FHSI Quote (HK\$368.00)	
	•ETNET IQ Streaming for PRC Client (HK\$268.00)	
	•THS Platform (同花順實時港股軟件) (HK\$388)	
	Monthly rate will be charged in full regardless of commencement date of service and will be debited from client's account in the first week of each month.	
<b>(C) Scrip Handling and Settlement-related Services</b>		
1.CCASS Stock Settlement Fee	Nil	
2.Money Settlement Fee	Nil	
3.Physical Scrip Deposit Fee	HK\$5.00 per certificate / for each transfer deed	
4.Physical Scrip Withdrawal Fee	HK\$5.00 per board lot (min. HK\$50.00 per stock)	
5.Deposit Transaction Charge	Nil	
6.Settlement Instruction Fee	0.01% of the stock value based on the last closing price (min. HK\$50.00 per stock for delivery SI only)	
7.Investor Settlement Instruction Fee	0.01% of the stock value based on the last closing price (min. HK\$50.00 per stock for delivery SI only)	
8.Compulsory Share Buy-back Fee (Default Fee)	0.50% on market value of settlement date (maximum HK\$100,000.00)	
9.Multi-counter Transfer Instruction Fee	HK\$5.00 for each effected Multi-counter Transfer Instruction	
<b>(D) Account Maintenance</b>		
1. Custody Fee	Nil	
2. Stock Balance or Account Confirmation Fee	Nil	

3. Dormant Account Fee	Nil	
<b>(E) Nominee Services and Corporate Actions</b>		
1. Scrip Fee For Book Close:	Script Fee: HK\$1.5 per board lot	
	Handling Fee: HK\$0.50 per board lot	
2. Collection Fee of Cash Dividend	0.12% of gross dividend amount	
3. Collection Fee of Scrip Dividend	Nil	
4. Collection Fee of Bonus Issue	Nil	
5. Handling Fee for Collection of Cash Dividend	HK\$10.00 or CNY8.26 per transaction	
6. Corporate Action Fee for Rights / Warrants Exercise	HK\$0.80 per board lot	
7. Rights Issue Entitlement Charge	Nil	
8. Fee for Rights Exercise	HK\$100.00 per transaction	
9. Fee for Excess Rights Application	Nil	
10. Fee for Warrants Exercise	HK\$100.00 per transaction	
11. Fee for Tendering Shares under a Takeover Bid	HK\$100.00 per transaction	
12. Fee for Handling Share Consolidation / Split	Nil	
<b>(F) Financing and Other Services</b>		
1. Margin Account Financing Charge	Prime Rate + 3% p.a.	
2. Securities Subscription Handling Charge		
• subscribe through our nominee	HK\$100.00 per transaction	
• subscribe in client's own name with our endorsement	Free	
3. Cash Account Overdue Interest	Prime Rate + 3% p.a.	
4. Banking Service Charges		
• Telegraphic Transfer (T/T) to overseas bank / foreign currency	US\$35.00 / HK\$155.00	
• T/T HKS to a local bank	HK\$55.00	
5. Fee for Claiming Unclaimed Benefit Entitlements	HK\$500.00 per transaction	
6. Service Fee for Retrieving Monthly Statement		
• the latest statement	Nil	
• statement of over 1 month	HK\$50.00 per statement	
7. Fee for c.c. contract notes / statements to client's employer	Nil	
8. Audit confirmation charge	HK \$300.00	

**Remarks:**

- For service fees of foreign securities and China Connect securities, please refer to our website for more details.
- All fees are subject to revision without prior notice, please refer to our website for latest update.

**金英証券(香港)有限公司**  
**証券服務收費表**

香港證券交易收費 (交收 : T + 2 交收期限)		
服務	收費	備註
<b>(A) 證券交易服務</b>		
1.經紀佣金(電話交易)	標準收費: 成交金額之 0.25% (最低 100.00 港元)	佣金收費面議
2.經紀佣金(網上交易)	標準收費: 成交金額之 0.15% (最低 50.00 港元)	佣金收費面議
3.交易徵費	每宗交易額之 0.0027% (計至最接近的港仙位數)	
4.投資者賠償徵費	無	
5.香港交易及結算所交易費	每宗交易額之 0.005% (計至最接近的港仙位數)	
6.交易系統使用費	無	
7.印花稅	每 1,000.00 港元收 1.00 港元 (計至最接近的港元位數)	
<b>(B) 報價服務</b>		
1. 網上即時報價	•經濟通串流版 (338.00 港元)	豁免條件:如客戶當月之網上交易佣金達到或超過 1,000.00 港元,則該月之月費會於下月之第一個星期內退回至帳戶內
	•經濟通串流版附期貨報價(368.00 港元)	
	•經濟通串流版國內版(268.00 港元)	
	•同花順實時港股軟件(388.00 港元)	
	月費不足一個月亦作一個月計算, 並將於每月之第一個星期於客戶之帳戶中扣除	
<b>(C) 處理實物股票及交收的服務</b>		
1.中央結算系統的股份交收費	無	
2.款項交收費	無	
3.存入實物股票費	每張股票 / 每張轉手紙 5.00 港元	
4.提取實物股票費	每手 5.00 港元(每隻股票最低收費 50.00 港元)	
5.存倉費	無	
6.交收指示費	按前一日收市價計算 0.01%市值; 每隻股票最低收費 50.00 港元(只適用於交付的交收指示)	
7.投資者交收指示費	按前一日收市價計算 0.01%市值; 每隻股票最低收費 50.00 港元(只適用於交付的交收指示)	
8.強制性補購股份費	交收日市值的 0.50% (最高收費 100,000.00 港元)	
9.多櫃台轉換指示服務費	每項已執行的多櫃台轉換指示收取 5.00 港元	
<b>(D) 帳戶服務</b>		
1.托管費	無	
2.證券結餘或帳戶證明書收費	無	
3.不動戶口服務費	無	
<b>(E) 代理人服務及企業行動</b>		
1.登記過戶費	登記過戶費: 每手 1.5 港元	
	手續費: 每手 0.5 港元	

2.代收現金股息費	股息金額之 0.12%	
3.代收股票股息費	無	
4.代收紅股費	無	
5.辦理代收現金股息費	每宗交易 10.00 港元或 8.26 人民幣	
6.行使供股權 / 認股證的企業行動費	每手 0.80 港元	
7.代收供股權收費	無	
8.代客行使供股權費	每宗交易 100.00 港元	
9.申請額外供股權費	無	
10.代客行使認股證費	每宗交易 100.00 港元	
11.在收購要約下提交股份費	每宗交易 100.00 港元	
12.辦理股份合併 / 分拆費	無	
<b>(F) 借貸及其他服務</b>		
1.孖展帳戶借貸費用	優惠利率 + 3% (每年)	
2.證券認購手續費		
• 以本公司代理人名義辦理	每宗交易 100.00 港元	
• 以客戶本身名義經由本公司背書	免費	
3.現金帳戶過期利息	優惠利率 + 3% (每年)	
4.銀行服務收費		
• 電匯至海外銀行 / 外幣	35.00 美元 / 155.00 港元	
• 電匯至本地銀行	55.00 港元	
5.代申請索償還未領取權益費	每宗交易 500.00 港元	
6.索取月結單服務費		
• 最近之結單	無	
• 一個月以前之結單	每份結單 50.00 港元	
7.抄送成交單據 / 結單至客戶僱主費	無	
8. 審計行政費	300.00 港元	

備註:

- 海外股票及中華通證券交易收費詳情，請參閱本公司的網站。
- 上述收費將有機會作出更改，恕不另行通知。請客戶留意本公司網站之最新公佈。