

Self-Certification Form – Individual

自我證明表格– 個人

A member of Maybank Group

(Applicable to Automatic Exchange of Financial Account Information (“AEOI”))

(適用於自動交換財務帳戶資料「AEOI」)

Important Notes: 重要提示：

This is a self-certification form provided by an account holder to Kim Eng for the purpose of automatic exchange of financial account information. The data collected may be transmitted by Kim Eng to the Inland Revenue Department for transfer to the tax authority of another jurisdiction.

這是由帳戶持有人向金英提供的自我證明表格，以作自動交換財務帳戶資料用途。金英可把收集所得的資料交給稅務局，稅務局會將資料轉交到另一稅務管轄區的稅務當局。

An account holder should report all changes in his/her tax residency status to Kim Eng.

如帳戶持有人的稅務居民身分有所改變，應盡快將所有變更通知金英。

All parts of the form must be completed unless not applicable or otherwise specified. If space provided is insufficient, continue on additional sheet(s).

除不適用或特別註明外，必須填寫這份表格所有部分。如這份表格上的空位不夠應用，可另紙填寫。

Part 1-Client Information 第 1 部 – 客戶資料**Name of Account Holder** 帳戶持有人姓名 _____**Account Number** 帳戶號碼 _____**Identity Card or Passport Number** 身份證號碼或護照號碼 _____**Date of Birth** 出生日期 _____**Place of Birth** 出生地點 _____**Part 2-Jurisdiction of Residence and Taxpayer Identification Number or its Functional Equivalent (“TIN”)****第 2 部- 居留司法管轄區及稅務編號或具有等同功能的識別編號（以下簡稱「稅務編號」）**

Complete the following table indicating (a) the jurisdiction of residence (including Hong Kong) where the account holder is a resident for tax purposes and (b) the account holder’s TIN for each jurisdiction indicated. Indicate all (not restricted to five) jurisdictions of residence.

提供以下資料，列明(a) 帳戶持有人的居留司法管轄區，亦即帳戶持有人的稅務管轄區(香港包括在內)及 (b) 該居留司法管轄區發給帳戶持有人的稅務編號。列出所有(不限於 5 個)居留司法管轄區。

If the account holder is a tax resident of Hong Kong, the TIN is the Hong Kong Identity Card Number.

如帳戶持有人是香港稅務居民，稅務編號是其香港身份證號碼。

If a TIN is unavailable, provide the appropriate reason A, B or C:

如沒有提供稅務編號，必須填寫合適的理由：

Reason A – The jurisdiction where the account holder is a resident for tax purposes does not issue TINs to its residents.

理由A – 帳戶持有人的居留司法稅務管轄區並沒有向其居民發出稅務編號。

Reason B – The account holder is unable to obtain a TIN. Explain why the account holder is unable to obtain a TIN if you have selected this reason.

理由B – 帳戶持有人不能取得稅務編號。如選取這一理由，解釋帳戶持有人不能取得稅務編號的原因。

Reason C – TIN is not required. Select this reason only if the authorities of the jurisdiction of residence do not require the TIN to be disclosed.

理由C – 帳戶持有人毋須提供稅務編號。居留司法管轄區的主管機關不需要帳戶持有人披露稅務編號。

Jurisdiction of Residence 居留司法管轄區	TIN 稅務編號	Enter Reason A, B or C if no TIN is available 如沒有提供稅務編號， 填寫理由A、B或C	Explain why the account holder is unable to obtain a TIN if you have selected Reason B 如選取理由B，解釋帳戶持有人不能取得稅務編號的原因	Add / Update 新增/更新
(1)				
(2)				
(3)				
(4)				
(5)				

Part 3-Declarations and Signature 第3部-聲明及簽署

I acknowledge and agree that (a) the information contained in this form is collected and may be kept by Kim Eng for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by Kim Eng to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another country or in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112).

本人知悉及同意，金英可根據《稅務條例》（第112章）有關交換財務帳戶資料的法律條文，（a）收集本表格所載資料並可備存作自動交換財務帳戶資料用途及（b）把該等資料和關於帳戶持有人及任何須申報帳戶的資料向香港特別行政區政府稅務局申報。從而把資料轉交到帳戶持有人的居留司法管轄區的稅務當局。

I certify that I am authorized to sign for the account holder of all the account(s) to which this form relates.

本人證明，就與本表格所有相關的帳戶，本人獲帳戶持有人授權簽署本表格。

I undertake to advise Kim Eng of any change in circumstances which affects the tax residency status of the individual identified in Part 1 of this form or causes the information contained herein to become incorrect, and to provide Kim Eng with a suitably updated self-certification form within 30 days of such change in circumstances.

本人承諾，如情況有所改變，以致影響本表格第1部所述的實體的稅務居民身分，或引致本表格所載的資料不正確，本人會通知金英，並會在情況發生改變後30日內，向金英提交一份已適當更新的自我證明表格。

I declare that the information given and statements made in this form are, to the best of my knowledge and belief, true, correct and complete.

本人聲明就本人所知所信，本表格內所填報的所有資料和聲明均屬真實、正確和完備。

Signature 簽署 _____

Date (dd/mm/yyyy) 日期(日/月/年) _____

WARNING: It is an offence under section 80(2E) of the Inland Revenue Ordinance if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. A person who commits the offence is liable on conviction to a fine at level 3 (i.e. \$10,000).

警告：根據《稅務條例》第80(2E)條，如任何人在作出自我證明時，在明知一項陳述在要項上屬具誤導性、虛假或不正確，或罔顧一項陳述是否在要項上屬具誤導性、虛假或不正確下，作出該項陳述，即屬犯罪。一經定罪，可處第3級（即\$10,000）罰款。

If there is any inconsistency between the English version and the Chinese version, the English version shall prevail.

如中、英文兩個版本有任何不相符之處，應以英文版本為準。